

Chapter 1: Notation and Functions

Learning Objectives:

- (1) Identify the domain of a function, and evaluate a function from an equation.
- (2) Gain familiarity with piecewise functions.
- (3) Study the vertical line test.
- (4) Know how to form and use composite functions.

1.1 Set

$$\{x \mid x^2 = 4\}$$

- Set is a collection of objects (called **elements**)

1. Order of elements does not matter. E.g.  $\{1, 2, 3\} = \{3, 2, 1\}$ .
2. Representation of a set is not unique. E.g.  $\{-2, 2\} = \{x \mid x^2 = 4\}$ .

- $\in$ : belongs to. If  $a$  is an element of  $A$ , we say that  $a$  belongs to  $A$ ; denoted as  $a \in A$ .
- $\subset$ : subset of. Let  $A, B$  be two sets such that  $\forall a \in A, a \in B$ . Then we say that  $A$  is a subset of  $B$ ; denoted as  $A \subset B$ .  
e.g.  $\{1, 2\} \subset \{1, 2, 3\}$

Remark.  $A \subset B$  is sometimes written as  $A \subseteq B$  to emphasize the fact that  $A = B$  is a possibility. If  $A \subset B$  but  $A \neq B$ , then  $A$  is said to be a proper subset (or a strict subset) of  $B$ , written as  $A \subsetneq B$ .

$$A \subset B \Leftrightarrow B \supset A: B \text{ is a } \underline{\text{supset}} \text{ of } A.$$

Example 1.1.1.

1.  $A = \{1, 2, 3\}, B = \{2, 3, 5, 7\}, C = \{1, 2, 3, 4, 5\}$ . Then  $A \subseteq C$  (in fact  $A \subsetneq C$ ),  $1 \in A$ , but  $1 \notin B$  and  $B \not\subseteq C$ .
2.  $C$  = the set of all students studying at CUHK.  $M$  = the set of all math major students currently studying at CUHK. Then  $M \subseteq C$ . You  $\in C$ . (?) science

Example 1.1.2. Some important number sets:

1.  $\mathbb{N}$ : the set of all natural numbers (positive integers) =  $\{1, 2, 3, \dots\}$ .
2.  $\mathbb{Z}$ : the set of all integers =  $\{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$ .  $\mathbb{N} \subset \mathbb{Z}$
3.  $\mathbb{Q}$ : the set of all rational numbers =  $\{\frac{a}{b} \mid a, b \in \mathbb{Z}, b \neq 0\}$ .  $\mathbb{Z} \subset \mathbb{Q}$

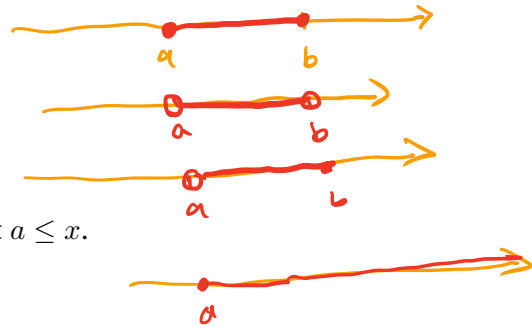
4.  $\mathbb{R}$ : the set of all real numbers.

$$\mathbb{Q} \subset \mathbb{R}$$

*Remark.* If the elements in a set can be ordered and the ordering are taken into account in the definition, then it is called an *ordered set*. E.g.  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}$  may be viewed as ordered sets.

## 1.2 Intervals

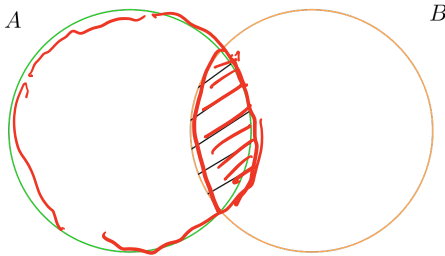
- $[a, b] = \{x \mid a \leq x \leq b\}$ . (closed interval)
- $(a, b) = \{x \mid a < x < b\}$ . (open interval)
- $[a, b) = \{x \mid a \leq x < b\}$ .
- $[a, \infty)$ : the set of all real numbers  $x$  such that  $a \leq x$ .



**Drawing open/closed intervals on the real line:**

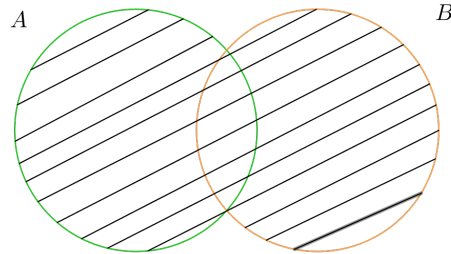
### 1.3 Set operations

Let  $A, B$  be two sets:



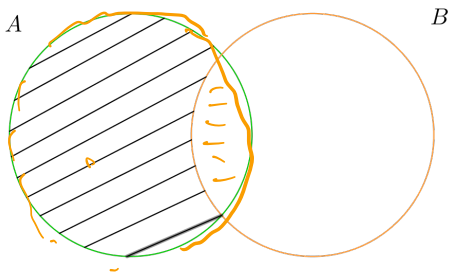
Intersection

$$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$$



Union

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

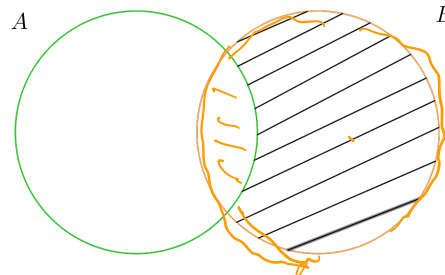


Relative complement of  $B$  in  $A$

$$A \setminus B = \{x \mid x \in A \text{ and } x \notin B\}$$

"  $A \setminus (B \cap A)$

Remark. Alternate notation for  $A \setminus B$ :  $A - B$ .



Relative complement of  $A$  in  $B$

$$B \setminus A = \{x \mid x \in B \text{ and } x \notin A\}$$

"  $B - A$

#### Example 1.3.1.

- Let  $A = \{1, 2, 3\}$ ,  $B = \{2, 3, 4\}$ ,  $C = \{5\}$ .  
 $A \cap B = \{2, 3\}$ ,  $A \cup B = \{1, 2, 3, 4\}$ ,  $A \setminus B = \{1\}$ ,  $B \setminus A = \{4\}$ ,  $A \setminus C = A$ .
- $\mathbb{R} \setminus \{a\}$ : the set of all real numbers  $x$ , except  $x = a$ .
- $A \setminus B = A \setminus (A \cap B)$ .

#### Exercise 1.3.1.

- What are the meanings of the following sets

(a)  $(-\infty, a)$ .  $= \mathbb{R} \setminus [a, \infty)$

(b)  $\mathbb{R} \setminus \{1, 2, 3\}$   $= (-\infty, 1) \cup (1, 2) \cup (2, 3) \cup (3, \infty)$

(c)  $\mathbb{R} \setminus [2, 3)$   $= (-\infty, 2) \cup [3, \infty)$

- Show that  $\mathbb{R} \setminus [1, \infty) = (-\infty, 1)$ .

Ex,  $A = \{1, 2, 3\}$   $B = \{3, 1\}$  1-4

$f: A \rightarrow B$  defined by

$f(1) = 3$   
 $f(2) = 1$   
 $f(3) = 1$

### 1.4 Functions

**Definition 1.4.1.** A **function** is a rule that assigns to **EACH** element  $x$  in a set  $A$  **EXACTLY ONE** element  $y$  in a set  $B$ . If the function is denoted by  $f$ , then we may write

$f: A \rightarrow B$ .

The set  $A$  is called the **domain** of the function. The set  $B$  is called the **codomain** of  $f$ . The assigned elements in  $B$  is called the **range** of  $f$ .

$x \in A$  is the **independent variable** of  $f$ ;  $y = f(x) \in B$  is the **dependent variable** of  $f$ .

Given  $a \in A$ ,  $f(a) \in B$  is said to be the **value** of the function  $f$  at  $a$ . Given  $S \subset A$ ,

$f(S) := \{f(a) \mid a \in S\}$

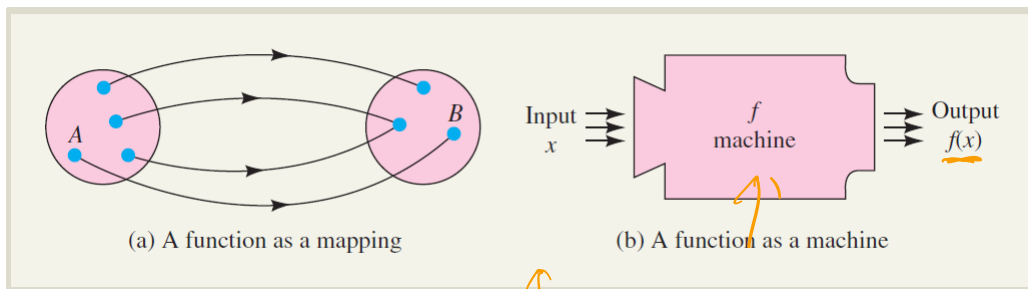
is said to be the **image** of  $S$  under  $f$ . In particular, the “**range**” of  $f$ , as defined above, is  $f(A) \subset B$ .

When the domain and range of a function are both sets of real numbers, the function is said to be a **real-valued function of one variable**, and we write

$f: \mathbb{R} \rightarrow \mathbb{R}$ .

Most functions encountered in this course are real-valued functions of one variable. Unless otherwise specified, a function is a real-valued function of one variable in this course.

*Remark.* There is some ambiguity in the definition of “range” in math literature. See the Wiki article. A function  $f: A \rightarrow B$  is also called a **map from  $A$  to  $B$** ;  $A$  is the **source** of  $f$  and  $B$  is the **target** of  $f$ .



**Example 1.4.1.**  $f: [-1, 3) \rightarrow \mathbb{R}$  is defined by  $f(x) = x^2 + 4$  (sometimes written as  $y = x^2 + 4$ ). Then

$f(0) = (0)^2 + 4 = 4$ .  $f(1) = 1^2 + 4 = 5$

domain =  $[-1, 3)$ , codomain =  $\mathbb{R}$ , range of  $f = [4, 13)$ .

$= \{f(x) \mid x \in [-1, 3)\}$

$x^2 = 4$   
 $\rightarrow$  max when  $|x|$  maximal

*Remark.* If a function is given by a formula **without domain specified**, then assume **domain** = set of all  $x$  for which  $f(x)$  is well defined, this domain is also called the **natural domain** of  $f$ .

**Example 1.4.2.** Find the natural domain of the functions.

- $f(x) = \frac{1}{x-3}$ . *← this formula makes sense whenever  $x \neq 3$ .*
- $g(t) = \frac{\sqrt{3-2t}}{t^2+4}$ . *←  $\sqrt{3-2t}$  makes sense only if  $3-2t \geq 0$   
 $t^2+4 > 0$ .  $\frac{3}{2} \geq t$*

*Solution.*

- $\frac{1}{x-3}$  is not defined when its denominator  $x-3=0$ , i.e.  $x=3$ . So the domain is  $\mathbb{R} \setminus \{3\}$ .
- The domain of  $\sqrt{3-2t}$  consists of all  $x$  such that  $3-2t \geq 0$ , which implies that  $t \leq \frac{3}{2}$ . Hence the domain is  $(-\infty, \frac{3}{2}]$ .

$$x^2 - 1 = (x+1)(x-1)$$

**Example 1.4.3.** Let  $f(x) = \frac{x^2-1}{x-1}$  and  $g(x) = x+1$ . Can we say  $f$  and  $g$  are the same function?

*↑ makes sense only when  $x \neq 1$*

*Solution.* **No!** The domain of  $f(x)$  is  $\mathbb{R} \setminus \{1\}$ , the domain of  $g(x)$  is  $\mathbb{R}$ . Only when  $x \neq 1$ ,  $f(x) = g(x)$ .

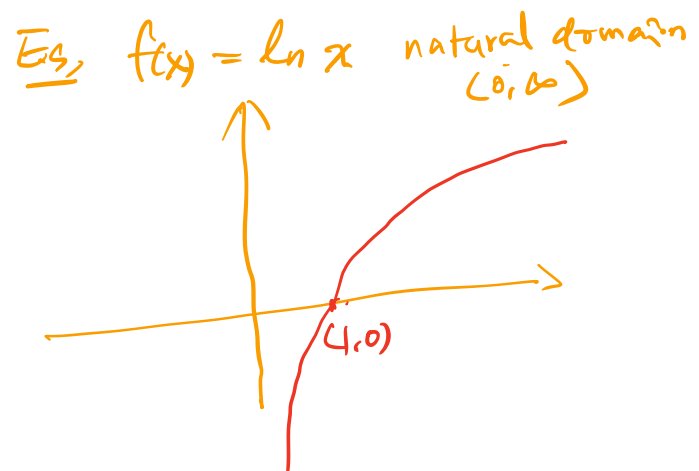
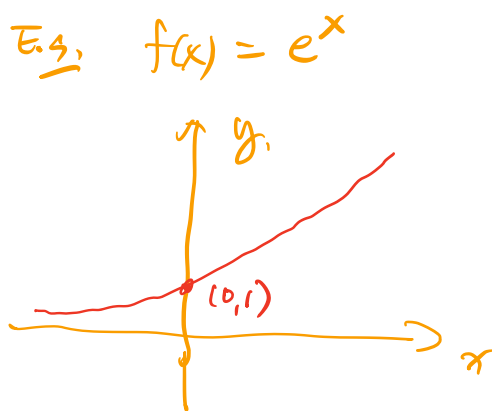
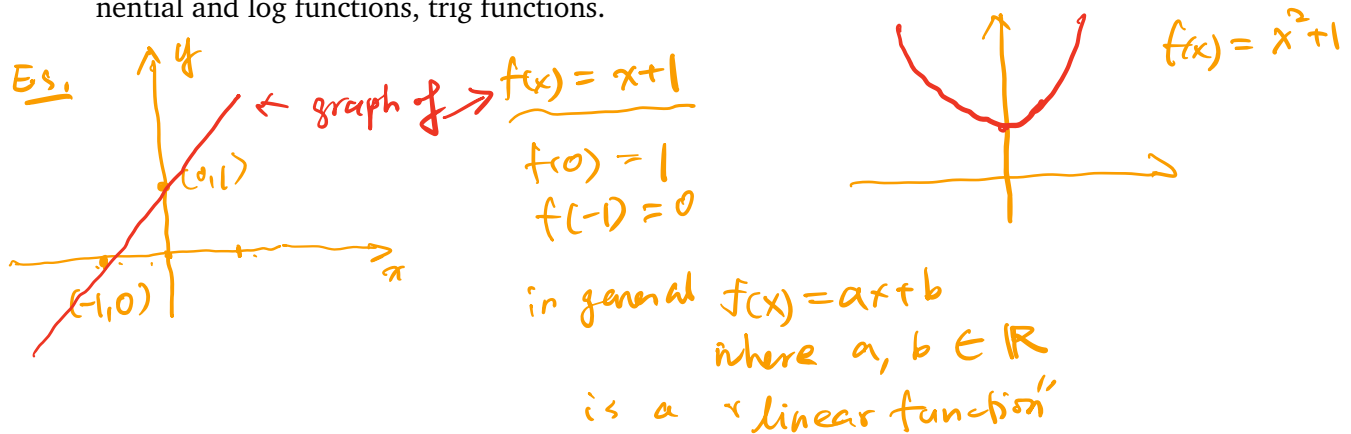
### 1.4.1 Vertical Line Test for Graph

A way to visualize a function is its graph. If  $f$  is a real-valued function of one variable, its **graph** consists of the points in the Cartesian plane  $\mathbb{R}^2$  whose coordinates are the input-output pairs for  $f$ . In set notation, the graph is

$$\Gamma(f) = \{(x, y) \in \mathbb{R}^2 : x \in \mathbb{R}, y = f(x)\}.$$

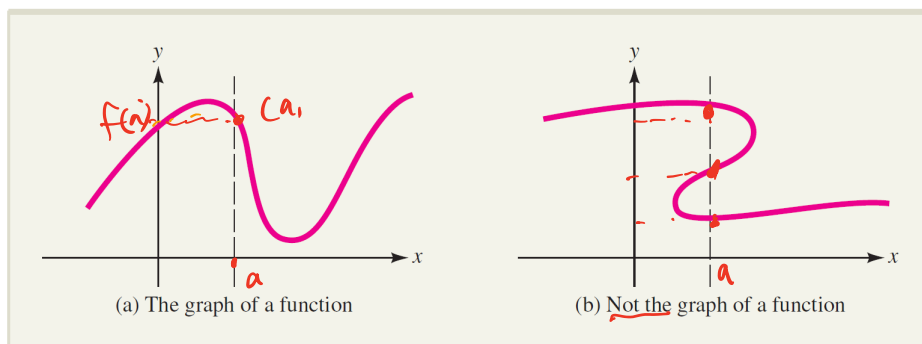
**Review: Graphing a real-valued function of one variable:** [HBSP] 1.2.

**Example 1.4.4.** linear functions; piecewise linear functions; quadratic functions, exponential and log functions, trig functions.



It is important to realize that not every curve is the graph of a function. For instance, suppose the circle  $x^2 + y^2 = 5$  were the graph of some function  $y = f(x)$ . Then, since the points  $(1, 2)$  and  $(1, -2)$  both lie on the circle, we would have  $f(1) = 2$  and  $f(1) = -2$ , contrary to the requirement that a function assigns **one and only one** value to each number in its domain. Geometrically, this happens because the vertical line  $x = 1$  intersects the graph of the circle more than once. The vertical line test is a geometric rule for determining whether a curve is the graph of a function.

**The Vertical Line Test** A curve is the graph of a function if and only if no vertical line intersects the curve more than once:



Ex,

$x^2 + y^2 = 1$

$\rightarrow y = \pm \sqrt{1-x^2}$

graph of  $f(x) = \sqrt{1-x^2}$

graph of  $f(x) = -\sqrt{1-x^2}$

$\{x \mid -1 \leq x \leq 1\}$  is the natural domain.

not the graph of a function

all the possible values of  $x$ -coordinates on this graph

Range =  $[-1, 0]$  (all possible values of  $y$ -coordinates of pts on the graph).

## 1.4.2 Some Special Functions

**Definition 1.4.2.** A **piecewise function** is defined by more than one formula, with each individual formula defined on a subset of the domain.

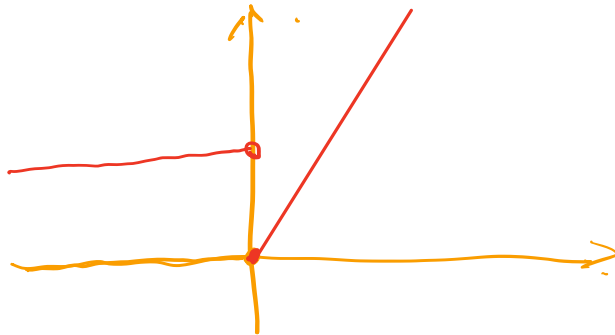
**Example 1.4.5.** Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined by

$$f(x) = \begin{cases} 1, & \text{if } x < 0 \\ 2x, & \text{if } x \geq 0. \end{cases}$$

1st formula     2nd formula

Then  $f(-1) = 1$ ,  $f(0) = 0$  and  $f(1) = 2$ .

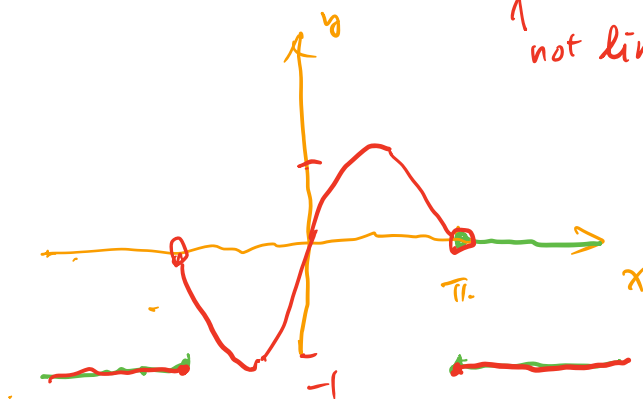
**Remark.** If all the formulae involved in defining a piecewise function are linear, then the function is said to be piecewise linear. E.g. The function in the preceding example.



**Example 1.4.6.** Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined by

$$f(x) = \begin{cases} -1, & \text{if } |x| \geq \pi \\ \sin x, & \text{if } |x| < \pi. \end{cases}$$

↑ not linear.



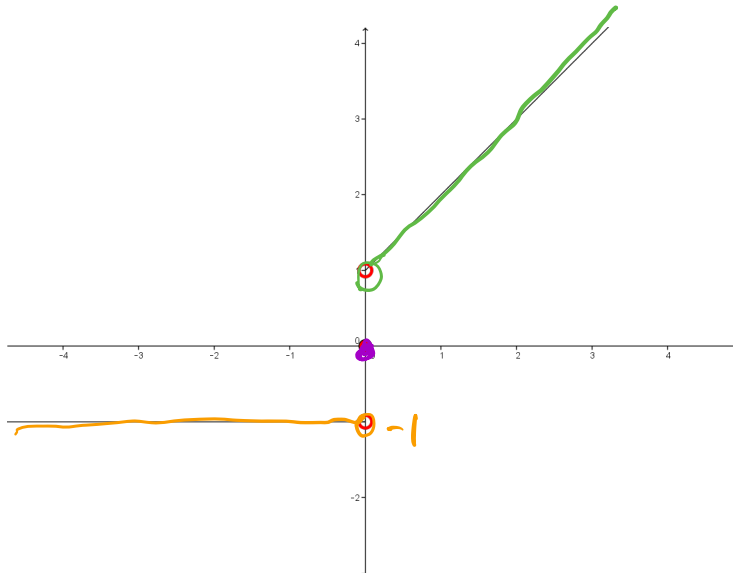
piecewise  
 function  
 NOT piecewise  
 linear



**Example 1.4.7.** Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be defined by

$$f(x) = \begin{cases} x + 1, & \text{if } x > 0, \\ 0, & \text{if } x = 0, \\ -1, & \text{if } x < 0. \end{cases}$$

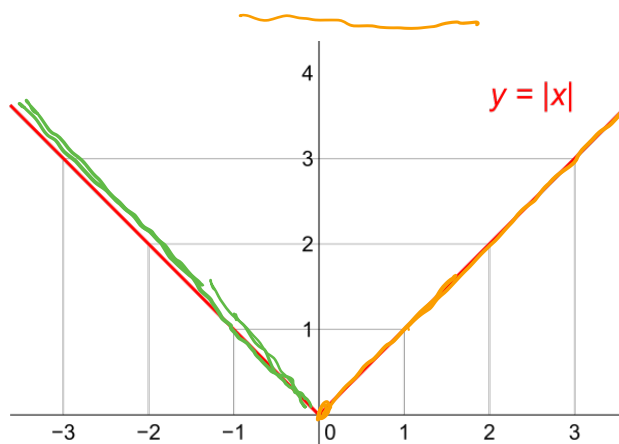
Then  $f$  is a piecewise (linear) function.



**Example 1.4.8.** The absolute value function

$$|x| := \begin{cases} x, & \text{if } x \geq 0, \\ -x, & \text{if } x < 0. \end{cases}$$

*piecewise linear.*



Case 1:  $2 - x \geq 0 \Leftrightarrow x \leq 2$  1-10

Case 2:  $2 - x < 0 \Leftrightarrow x > 2$

**Example 1.4.9.** Write  $f(x) = 2x + |2 - x|$  as a piecewise function.

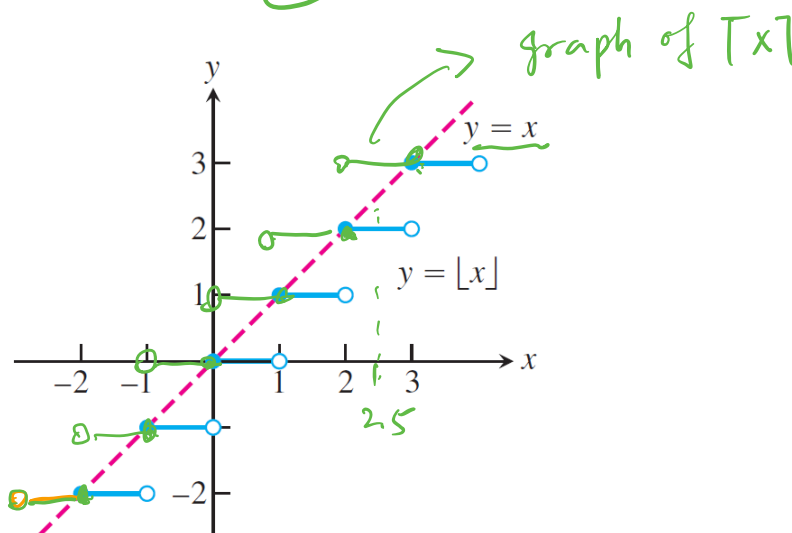
*Solution.* Note that  $|2 - x| = 2 - x$  when  $2 - x \geq 0$ , that is  $x \leq 2$ ; and  $|2 - x| = x - 2$  when  $2 - x < 0$ , that is,  $x > 2$ . Hence  $f(x) = 2x + 2 - x = x + 2$  if  $x \leq 2$ , and  $f(x) = 2x + x - 2 = 3x - 2$  if  $x > 2$ , or we can write

$$f(x) = \begin{cases} x + 2 & \text{if } x \leq 2 \\ 3x - 2 & \text{if } x > 2 \end{cases}$$

$2x + 2 - x = x + 2$   
 $2x - (2 - x) = 3x - 2$

piecewise linear.

**Example 1.4.10.** Define the floor function as  $\lfloor x \rfloor =$  the largest integer  $\leq x$ . Then  $f(x) = \lfloor x \rfloor$  is a piecewise function.



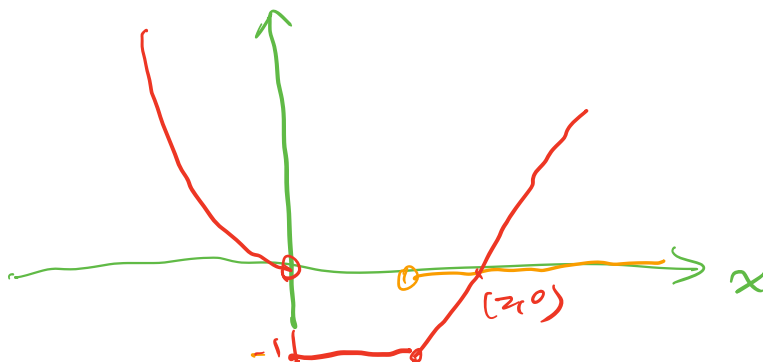
**Exercise 1.4.1.** Define the ceiling function as  $\lceil x \rceil =$  the smallest integer  $\geq x$ . Sketch the graph of  $\lceil x \rceil$ .

**Exercise 1.4.2.** Sketch the graph of

$$f(x) = \begin{cases} x - 2, & \text{if } x > 1, \\ -1, & \text{if } 0 \leq x \leq 1, \\ x^2, & \text{if } x < 0. \end{cases}$$

piecewise function.

not linear.

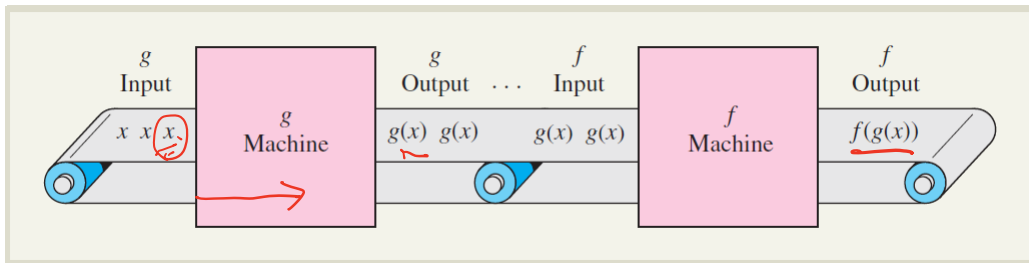


### 1.5 Composition of functions

**Definition 1.5.1.** Given functions  $f(u)$  and  $g(x)$ , the **composition** of  $f$  and  $g$ , denoted by  $(f \circ g)(x)$ , is a function of  $x$  formed by substituting  $u = g(x)$  for  $u$  in the formula of  $f(u)$ , i.e.

$$(f \circ g)(x) = f(g(x)).$$

In the following figure, the definition of composite function is illustrated as an assembly line in which raw input  $x$  is first converted into a transitional product  $g(x)$  that acts as input in  $f$  machine uses to produce  $f(g(x))$ .



*replace by f(x) to get g(f(x))  
the variable x*

**Example 1.5.1.**  $f(x) = x^2 + 3x + 1$  and  $g(x) = x + 1$ .  
Then

$$(f \circ g)(x) = f(g(x)) = (g(x))^2 + 3(g(x)) + 1 = (x + 1)^2 + 3(x + 1) + 1 = (x^2 + 2x + 1) + (3x + 3) + 1 = x^2 + 5x + 5$$

Similarly,

$$(g \circ f)(x) = g(f(x)) = f(x) + 1 = x^2 + 3x + 2.$$

**Remark.** In general,  $(f \circ g)(x) \neq (g \circ f)(x)$ .

**Example 1.5.2.** Suppose  $f(x) = x^3 - 1$  and  $h(x) = x - 1$ , find  $g(u)$  such that  $f(x) = g(h(x))$ .

**Solution.**

$$f(x) = x^3 - 1 = (x - 1 + 1)^3 - 1 = (x - 1)^3 + 3(x - 1)^2 + 3(x - 1) = g(u),$$

where we define

$$g(u) = u^3 + 3u^2 + 3u.$$

$$= g(h(x)) = (h \circ f)(x)$$

$$(a+b)^3 = a^3 + 3a^2b + 3ab^2 + b^3$$

$$f(x) = g(u)$$

$$u = x - 1$$

$$x^3 - 1 = (u+1)^3 - 1$$

$$u+1 = x$$

$$= u^3 + 3u^2 + 3u + 1 - 1 = u^3 + 3u^2 + 3u$$

■

□

Alternative solution (change of variables): Set  $u = h(x)$  to be the new variable. Then  $u = x - 1$  and  $x$  may be expressed in terms of the new variable  $u$  as  $x = u + 1$ . Plugging this into the formula for  $f$ , we have:

$$g(u) = f(x) = (u + 1)^3 - 1.$$

**Example 1.5.3.** Suppose  $f(x) = (x - 5)^2 + \frac{3}{(x - 5)^3}$ , find  $g(u)$  and  $h(x)$  such that  $f(x) = g(h(x))$ .

set  $u = x - 5 = h(x)$  then:  
 $f(x) = u^2 + \frac{3}{u^3} = g(u)$   
 $= g(h(x))$

Solution. The form of the given function is

$$f(x) = \square^2 + \frac{3}{\square^3},$$

where each box contains the expression  $x - 5$ . Thus  $f(x) = g(h(x))$ , where

$$g(u) = u^2 + \frac{3}{u^3} \text{ and } h(x) = x - 5.$$



Remark. There are many possible answers to the preceding problem, as  $h(x)$  can be chosen quite arbitrarily. E.g. one may choose the new variable  $u = h(x) = x - 1$ , then  $x = u + 1$  and

$$(g \circ h)(x) = g(h(x)) = g(u) = f(x) = (u - 4)^2 + \frac{3}{(u - 4)^3}. \quad x - 5 = u - 4$$

**Definition 1.5.2.** A **difference quotient** for a function  $f(x)$  is a composition function of the form

$$\frac{f(x + h) - f(x)}{h}$$

where  $h$  is a constant.

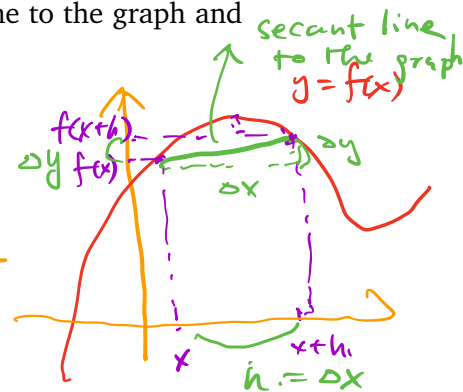
Difference quotients are used to compute the slope of a tangent line to the graph and define the **derivative**, a concept of central importance in calculus.

**Example 1.5.4.** Find the difference quotient of  $f(x) = x^2 - 3x$ .

Solution.

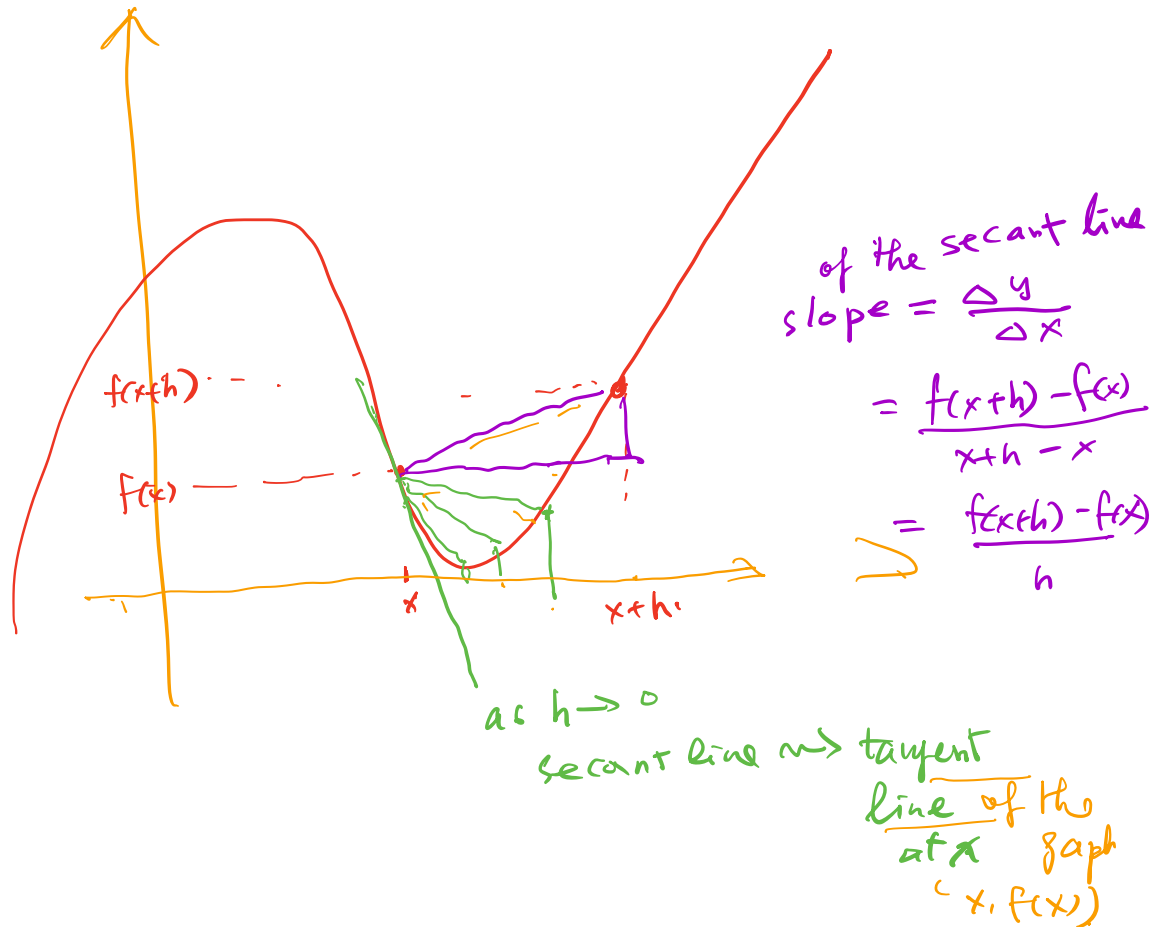
$$\begin{aligned} \frac{\Delta y}{\Delta x} &= \frac{f(x + h) - f(x)}{h} = \frac{[(x + h)^2 - 3(x + h)] - [x^2 - 3x]}{h} \\ &= \frac{[x^2 + 2xh + h^2 - 3x - 3h] - [x^2 - 3x]}{h} \\ &= \frac{2xh + h^2 - 3h}{h} = \underline{2x + h - 3}. \end{aligned}$$

||  
 slope of the secant line thru the two points  $(x, f(x)), (x+h, f(x+h))$



**Geometric interpretation:** As slopes of secant lines to the graph of  $f$ .

$h \rightarrow 0 \rightsquigarrow$  tangent lines. Slopes of tangent lines to the graph of  $f \rightsquigarrow$  derivatives of  $f$ .



## 1.6 Modeling in Business and Economics

**Example 1.6.1.** A manufacturer can produce dining room tables at a cost of \$200 each. The table has been selling for \$300 each, and at that price consumers have been buying 400 tables per month. The manufacturer is planning to raise the price of the table and estimates that for each \$1 increase in the price, 2 fewer tables will be sold each month. What price corresponds to the maximum profit, and what is the maximum profit?

*Solution.* Let  $x$  be the price.

$$\text{Profit for one table} = x - 200$$

$$\text{Number of tables sold} = 400 - 2(x - 300) = 1000 - 2x$$

$$\begin{aligned} \text{Total profit: } f(x) &= (x - 200)(1000 - 2x) \\ &= -2x^2 + 1400x - 200000 \\ &= -2(x - 350)^2 + 45000 \end{aligned}$$

$$\begin{aligned} x - 350 &= 0 \\ x &= 350 \end{aligned}$$

$f(x)$  is maximized when the manufacturer charges \$350 for each table. ■

**Question:** How to find max/min for general functions? **Calculus helps!**

